

2124

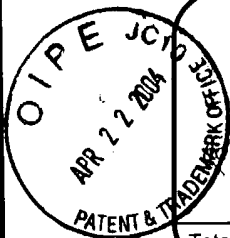
PTO/SB/21 (08-03)

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# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number	10/005,740
Filing Date	November 6, 2001
First Named Inventor	CHANG, Robert C. et al.
Group Art Unit	2124
Examiner Name	Unassigned
Attorney Docket Number	SANDP011

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Total Number of Pages in This Submission

## ENCLOSURES (check all that apply)

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<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/déclaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Return Postcard <input type="checkbox"/> Other Enclosure(s) (please identify below):
Remarks		The Commissioner is authorized to charge any additional fees to Deposit Account 50-1652.

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm and Individual name	RITTER, LANG & KAPLAN LLP Peggy A. Su, Reg. No. 41,336
Signature	<i>Peggy A. Su</i>
Date	April 19, 2004

## CERTIFICATE OF MAILING

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			April 19, 2004
Typed or printed name	Kimberly Melvin		
Signature	<i>K. Melvin</i>	Date	April 19, 2004

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Signed: \_\_\_\_\_

Kimberly Melvin

Attorney Docket No. SANDP011  
**PATENT**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of:

CHANG, Robert C. et al.

Application No.: 10/005,740

Filed: November 6, 2001

For: IMPLEMENTATION OF IN SYSTEM PROGRAMMING  
TO UPDATE FIRMWARE ON MEMORY CARDS

Examiner: Unassigned

Group: 2124

Date: April 19, 2004

**INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR §§1.56 AND 1.97(c)**

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Dear Sir:

The references listed in the attached PTO Form 1449 copies of which are enclosed, may be material to the examination of the above-identified patent application. These references were cited in a corresponding foreign patent application. A copy of the foreign Search Report which was dated March 17, 2004, is enclosed herewith as well. These references are submitted in compliance with the applicant's duty of disclosure pursuant to 37 CFR §§1.56 and 1.97. Accordingly, the Examiner is requested to make these citations official record in this application.

**CERTIFICATION**

The undersigned hereby certifies that the references enclosed herewith were first cited in an international search report from the PCT searching authority less than three months before the filing date of the present Information Disclosure Statement. Should the Commissioner determine that additional fees are required for filing the present Information Disclosure Statement, the Commissioner is hereby authorized to charge any such fees to our deposit account number 50-1652 (Order No. SANDP011).

Respectfully submitted,

*Peggy A. Su*

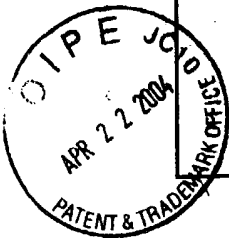
Peggy A. Su

Reg. No. 41,336

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<b>Form 1449 (Modified)</b>  <b>Information Disclosure Statement By Applicant</b>  (Use Several Sheets if Necessary)	Atty Docket No.	SANDP011
	Application No.:	10/005,740
	Inventor	CHANG, Robert C. et al.
	Group	2124
	Filing Date	November 6, 2001
	Mailing Date	April 19, 2004



**U.S. Patent or Published Documents**

Examiner Initial	No.	Patent No.	Date	Patentee	Class	Sub-Class	Filing Date

**Foreign Patent or Published Foreign Patent Application**

Examiner Initial	No.	Document No.	Publication Date	Country or Patent Office	Class	Sub-class	Translation	
							Yes	No
	A	WO 00/67132	11/9/2000	WIPO				
	B	WO 01/78020	10/18/2001	WIPO				
	C	EP 1003099A2	5/24/2000	EPO				
	D	EP 1058450A1	12/6/2000	EPO				
	E	DE 20109810U1	6/13/2001	DE				

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**Other Documents**

Examiner Initial	No.	Author, Title, Date, Place (e.g. Journal) of Publication
	F	"Smart Card Devices and Applications" January 2001, <a href="http://www.netcaucus.org/books/egov20">http://www.netcaucus.org/books/egov20</a> , page 6, lines 2-7, paragraph 6
	G	ISO/IEC 7816 Part 4: Interindustry Command for Interchange, <a href="http://www.ttfn.net/techno/smartcards">http://www.ttfn.net/techno/smartcards</a> , pgs.1-36

Examiner	Date Considered
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**Examiner: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.**